

**NATIONAL CERTIFICATE – FINANCIAL MARKETS &  
INSTRUMENTS (NQF LEVEL 6)  
CAPE TOWN - 2020**

**SAQA QUALIFICATION ID 50481**

The above qualification is presented by THE ACADEMY OF FINANCIAL MARKETS (AFM). It deals with applications and practical aspects of the financial services, markets, instruments and environment from a personal planning as well as an institutional point of view.



**WHO SHOULD ATTEND?**

<ul style="list-style-type: none"> <li>• Wealth Management consultants and relationship managers</li> </ul>	<ul style="list-style-type: none"> <li>• Certified Financial Planners (CFP's), brokers, broker consultants and business development managers</li> </ul>
<ul style="list-style-type: none"> <li>• Private, personal and business bankers and transactional bankers</li> </ul>	<ul style="list-style-type: none"> <li>• Internal and external Audit</li> </ul>
<ul style="list-style-type: none"> <li>• Risk Managers</li> </ul>	<ul style="list-style-type: none"> <li>• Asset and portfolio Managers</li> </ul>
<ul style="list-style-type: none"> <li>• Finance and accounting staff</li> </ul>	<ul style="list-style-type: none"> <li>• Structured and Corporate Finance</li> </ul>
<ul style="list-style-type: none"> <li>• Legal consultants</li> </ul>	<ul style="list-style-type: none"> <li>• Individual investors</li> </ul>
<ul style="list-style-type: none"> <li>• Treasury staff (Front, middle and back-office)</li> </ul>	<ul style="list-style-type: none"> <li>• IT staff involved in financial institutions</li> </ul>

**WHY SHOULD YOU ATTEND?**

This course has been presented to market participants and institutions since 1994 and is updated regularly. The following institutions benefited from AFM's training:

<i>ABSA</i>	<i>FNB</i>	<i>Standard Bank</i>
<i>Sanlam</i>	<i>Momentum/Metropolitan</i>	<i>Old Mutual</i>
<i>PricewaterhouseCoopers</i>	<i>KPMG</i>	<i>Ernst &amp; Young</i>
<i>South African Reserve Bank</i>	<i>Alexander Forbes</i>	<i>JSE</i>
<i>ENS (Edward Nathan Sonnenbergs)</i>	<i>Bell Dewar</i>	<i>FAIS Ombudsman</i>
<i>New York Institute of Finance</i>	<i>Kenya School of Monetary Studies</i>	<i>KAVAQ Institute - Dubai</i>

This course is approved by the FSCA on FSCA FAIS Notice 36 of 2019 (pg 35) for all Categories, giving the learner a recognized qualification as required by the "fit & proper" requirements of FAIS.

This course consists of 12 face-to-face modules. Lectures are scheduled over a year, giving the learner ample time to absorb information in between lectures.

Successful learners will earn NQF (SAQA registered) credits for each unit-standard based module. Upon successful completion of all the modules, a minimum of 120 credits is earned and a National Certificate is awarded.

## MODULE TOPICS

The outline of the Wealth Management specialization is as follows:



<u>Wealth Management Focus - Topic</u>	<u>Proposed dates - 2020</u>
Economic principles and the financial services	25 Feb
Financial indicators and the financial markets	17 Mar
Financing, loans and financial mathematics	07 Apr
Investment fundamentals: Equities, money and capital markets	05 May
Financial Statement analyses	26 May
Technical analyses	23 Jun
Investment fundamentals: Offshore investments and derivatives	14 Jul
Insurance and retirement fundamentals	04 Aug
Wealth management and Estate Planning	25 Aug
Taxation fundamentals	15 Sep
Financial risk management & hedging	06 Oct
CIS and Applications in the financial environment	27 Oct

The sessions are presented in a very practical way, using for example current newspaper information and simulated trading on the JSE.



## FORMAT OF THE COURSE

The course consists of:

- 12 **face-to-face modules**, with a text book and module notes in electronic format
- Evidence collection for competency is via written exams and written assignments.



Additional resources are provided on AFM's website in the form of facilitator slides, class examples, revision questions, etc. Learners also have access to the facilitator after a lecture.

## ADMISSION REQUIREMENTS

Applicants must have successfully completed an NQF level 5 (or higher) finance-related qualification such as a Degree, Certificate, Diploma or other relevant recognised professional qualification.



## VENUES

In addition to Cape Town, public courses **may** also be presented in:

- Johannesburg; and
- Durban

Registered students will be provided with a map & venue details.

## COST

The full course fee is **R23 734.78** excl. (R27 295 incl.) per person in 2020.

Note the above fees are applicable to our public courses. Please contact AFM for **in-house course fees**, i.e. for courses on the premises of your company.

A financial calculator is used during the course and the learner has to purchase his own preferred brand (AFM uses the HP 10BII).

## BOOKING & REGISTRATION PROCEDURES

The following registration procedure is applicable:

- Submit the completed application form (last page) to AFM. Make sure you:
  - Complete all the fields and sign the form
  - Provide the necessary supporting documentation

AFM Contact details for applications
E-mail: <a href="mailto:liz@academyfm.co.za">liz@academyfm.co.za</a>
Tel: (011) 782-2868
Mail: P O Box 73026, Fairlands, 2030

The closing date for registration is 31 January 2020.

- AFM will inform you in terms of success of your application, or otherwise.
- Once your application is accepted, AFM will forward you a pro-forma invoice to affect payment of the **full** course fee into the bank account of AFM.
- AFM requires the proof of payment on or before **12 February 2020** (please obtain AFM invoice prior to making payment). On request, AFM will issue a VAT receipt after full payment has been received.
- With this proof, your registration for this course is completed. Hereafter AFM will forward you the details of the venue and the administration requirements.

**PLEASE NOTE:** NB!! A certain minimum number of successful registrations are required for a course to be viable. AFM should be able to advise by no later than 01 February 2020, whether the proposed course in this region will indeed proceed. Interested learners are urged to submit registration forms etc as soon as possible, so as to allow the AFM admin team to have a clearer indication of the course interest from each region.



# BOOKING AND REGISTRATION FORM (CAPE TOWN: N CERT NQF6 - 2020)



Students have to be registered on the **National Learner Record Database** in order for education to be recognised by the Acts. The **South African Qualifications Act** requires the following information to be submitted:

ID no: <b>Please attach ID copy to application form</b>	Title:
First names:	Last Name:
Nationality:	Gender: Male <input type="checkbox"/> Female <input type="checkbox"/>
Home Language:	Marital status:
Equity status: Black: African <input type="checkbox"/> Black: Coloured <input type="checkbox"/> Black: Indian/Asian <input type="checkbox"/> White: <input type="checkbox"/> Other/Unknown: <input type="checkbox"/>	Disability status: None: <input type="checkbox"/> Physical: <input type="checkbox"/> Communication: <input type="checkbox"/> Sight: <input type="checkbox"/> Other (specify): <input type="checkbox"/>
Employer: Name:  <b>VAT no. (If applicable):</b>  <b>Entity to be invoiced:</b>  Department / business unit:	Employer address:   Postal code:  Position in company:
<b>Dietary requirements:</b>	Payroll no.:
Work phone no:	Cell no:
Primary e-mail address:  Second e-mail address:	Fax no:
Home address:  Postal code:	Postal address:  Postal code:
<b>Previous education (please supply certified copies):</b> <ul style="list-style-type: none"> <li>• School education:                      Level passed:                       School and year:</li> </ul>	<ul style="list-style-type: none"> <li>• Tertiary education:                      Highest qualification passed:                       Institution and year:</li> </ul>
Short CV and other relevant training completed (attach additional information):	

Compulsory:

NB!

Please e-mail, fax or mail the completed **application form**, **copy of ID** and **supporting documentation** to the relevant contact detail as supplied above. **AFM reserves the right to discontinue any course due to insufficient interest. Also note that cancellation conditions & terms apply should you withdraw from the course. Contact AFM for more details on cancellations.**

I herewith apply to enter and agree with the conditions stipulated in this document:

Signature: \_\_\_\_\_ Date: \_\_\_\_\_